12th CEPA Meeting

Working Group III on Citizen Engagement in Managing Development, Open Government Development

Development Management Branch (DMB)
DPADM, DESA

New York, 17 April 2013
Recap of 2012 Working Group III

11th CEPA Session

Working Group Recommendations on the Future Work of the Branch:

- Citizen engagement & development management + measure outcomes
- National development strategies, engagement & enabling environment
- Clearing house on what works
- Disaggregation by gender/other social groups
- E-government as one engagement mechanism
- Toolkits tested with potential users
- Outreach
- CEPA members’ engagement
- Follow-up and support actions on the Middle East & North Africa
Objectives of Today

I. DMB Overview

II. Update on 2012-2013 Activities since 11th CEPA Session

III. Discussion of Priorities in the Context of 1,000 Days to MDGs, Rio+20 Follow-up and the Post-2015 Development Agenda
I. DMB Overview
Resolution adopted by the Economic and Social Council (E/RES/2012/28)

¶ 3 - Invites the Committee (...) to study the effect of specific practices (...) to promote high standards of public sector integrity, transparency, accountability(...)  

¶ 4 (a) Requests the Secretariat to (...) further develop its Public Administration Country Studies (...) with the aim of better assisting countries in redefining, reforming, strengthening and innovating their public administrations in general (...)  

¶ 4 (d) – Requests the Secretariat to assist in the implementation of the Plan of Action adopted by the World Summit on the Information Society at its first phase (...) on issues related to electronic and mobile government.
DMB Overview

DMB Thematic Clusters

- **Public Accountability Cluster**
  - Angela, Ulrich, Pat
  - (Elia, Xinxin)

- **E-participation Cluster**
  - Slava, Deniz
  - Rizza, Victoria

- **IGF Secretariat**
  - Chengetai

- **Institutional Framework Cluster**
  - Valentina, Arpine, Elvira, Elie, Michelle

- **Coordination**
  - Elia
  - Xinxin
DMB Overview

- Contributions to Division-wide Activities

1. Annual UN Public Service Awards, Day and Forum
2. Biennial UN E-Government Survey
3. Biennial world public sector report (brief) on UNPACS
II. Update on 2012-2013 Activities
Cluster 1 – Public Accountability

Structure:

Angela, Ulrich
Pat (Elia, Xinxin)

<table>
<thead>
<tr>
<th>INPUTS</th>
<th>OUTPUTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012 CDW (with PACB’s EGM) on Preventing Corruption in Public Administration</td>
<td>- Joint workshop report with PACB;</td>
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<tr>
<td></td>
<td>- Online Training for Anti-corruption Agencies Officers</td>
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<tr>
<td>2013 EGM with INTOSAI</td>
<td>- EGM report with INTOSAI;</td>
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<td></td>
<td>- Compendium of Innovative Practices on CE for Public Accountability through SAIs</td>
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<tr>
<td>TC Project in Chad</td>
<td>- Start-up and future advisory missions;</td>
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<tr>
<td></td>
<td>- Project reports</td>
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<tr>
<td>DMB Focal Point on Post-2015 Development Agenda</td>
<td>Briefs on any significant developments as needed</td>
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</tbody>
</table>

Other Major Tasks:
- 2014 E-Govt. Survey Chapter
- E-Govt. Survey Queries
Public Accountability - Mandate

CEPA Conclusions in 2012
(E/2012/44-E/C.16/2012/6)

¶ 37 - There is a higher demand for accountability
¶ 42 - There is an important role of citizens in closing accountability gaps
Advocacy & Normative Support

22nd UN/INTOSAI Symposium
5 to 7 March 2013, Vienna - Austria

CEPA Resource Person: Margaret Saner
UN General Assembly Resolution 66/209

“Promoting the efficiency, accountability, effectiveness and transparency of public administration by strengthening supreme audit institutions”

• Important role of supreme audit institutions in promoting the efficiency, accountability, effectiveness and transparency of public administration

• Conducive to the achievement of the MDGs
22nd UN/INTOSAI Symposium

• Topic: Audit and Advisory by SAIs: Risks and Opportunities, as well as Possibilities for Engaging Citizens

• USG Wu: Citizen engagement for greater accountability in Post 2015 UN Development Framework

• Government audit may comprise financial, compliance and performance auditing
INTOSAI and UN DESA

Over 40 years of cooperation with UN
• 22 joint symposia and seminars since 1971

Continuation of Cooperation between UN:
• Follow up/implementation of the UN General Assembly Resolution
• 23rd UN/INTOSAI Symposium 2015
• INTOSAI Platform for the cooperation with the UN: Publication on corruption prevention 2013
Knowledge Sharing & Training

Capacity Development Workshop at UNPSA Forum 2012


- Joint Expert Group Meeting and Workshop on Preventing Corruption in Public Administration: Citizen Engagement for Improved Transparency and Accountability

- CEPA Member at this Workshop: Meredith Edwards
Knowledge Sharing & Training (cont’d)

- Development of a new online training on Citizen Engagement in Preventing Corruption
- Course outline already drafted
- Some content to be developed
Advisory Services in the Field

New Project: Strengthening of Democratic Governance in Chad

National Partners:
- National Assembly
- Ministry of Economy and Plan

Aim is to strengthen:
- Oversight role of the National Assembly
- Overseas aid monitoring by the government
## Cluster 2 - E-Participation

<table>
<thead>
<tr>
<th>INPUTS</th>
<th>OUTPUTS</th>
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</thead>
<tbody>
<tr>
<td>UNPACS Analysis &amp; Development Account Project</td>
<td>METEP &amp; 2 Workshops</td>
</tr>
<tr>
<td>2012 WSIS Workshop with UMU &amp; ITU on Future Govt.</td>
<td>- Open Government Data Online Training;</td>
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<tr>
<td></td>
<td>- Open Government Data Toolkit with UMU &amp; WB</td>
</tr>
<tr>
<td>WSIS Coordination of 3 Action Lines (May 2012, Feb. 2013, May 2013) and Vice-chair of UNGIS</td>
<td>Reports and input into ITU report</td>
</tr>
<tr>
<td>2012&amp;2013 IGF + 4 MAG Meetings with IGF Secretariat</td>
<td>Reports and Briefs</td>
</tr>
<tr>
<td>E-Govt. Survey Queries</td>
<td>Templates of FAQs Updated Workplan for Incoming Queries Team</td>
</tr>
<tr>
<td>ECESA Governance &amp; Institutions Cluster</td>
<td>PAConnect Group and Blog</td>
</tr>
<tr>
<td>Other Major Tasks:</td>
<td></td>
</tr>
<tr>
<td>- 2014 E-Govt. Survey Data Focal Point and Chapter &amp; Case Studies</td>
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</tbody>
</table>

**Structure:**

- Slava, Deniz
- Rizza, Victoria
- Chengetai (IGF)
E-Participation - Mandate

CEPA Conclusions in 2012
(E/2012/44-E/C.16/2012/6)

¶31 – (…) the following areas were proposed for further pragmatic and effective interventions against corruption: (a) open government models with citizens’ participation at their core (…)¶

¶42 – (…) The Committee also underlined the importance of (a) the proper use of information and communications technologies for improving transparency (…)¶

¶66(e)* - Ensure that e-government engagement mechanisms are seen as one of many effective ways to engage citizens, with due focus on who is being engaged and when in the policy process (f) Develop toolkits, test them with potential users and conduct outreach for their wide dissemination to maximize their use (h) Continue to engage Committee members, particularly in finalizing outputs

Knowledge Sharing & Training

Capacity-Building Workshop on Open Government Data and Citizen Engagement


- CEPA Resource Persons: Hyam Nashash & Rowena Bethel

- Collaboration with leading institutions worldwide, including the World Bank
Knowledge Sharing & Training (cont’d)

Open Government Data and Citizen Engagement Toolkit (OGDCE Toolkit)

- An entry point for the Member States planning to share data
- Includes strategies for opening government data, recommendations about applications and platforms

Complementary
Developing Capacity for e-Participation

- Strengthen the capacity of developing countries to apply ICTs for engaging citizens through e-participation for development results
- Enhance knowledge of government policy-makers, and relevant business and civil society leaders on the current concepts, approaches and best practices on e-participation
- Co-produce the Measurement and Evaluation Tool for E-Participation Readiness (METEP) self-assessment guide (forthcoming)
DMB, on behalf of DESA, facilitates the implementation of the Action lines C1, C7eGov and C11 of the Tunis Agenda for the Information Society.

- **Action Line C1**
  The role of public governance in the promotion of ICTs for development.

- **Action Line C7**
  e-governance.

- **Action Line C11**
  International and regional cooperation.

- Supports the Secretary-General to convene a forum for multi-stakeholder policy dialogue on Internet Governance (¶ 73 of the WSIS Tunis Agenda).
- The IGF Secretariat was established in 2006 under the responsibility of the DESA to provide a support structure for the IGF.
- **Objective**: to achieve increased understanding, through multi-stakeholder dialogue, on key elements of Internet governance.
Internet Governance for Sustainable, Human, Economic and Social Development
6 - 9 November 2012
Baku, Azerbaijan

Main themes:

- Emerging Issues
- Managing Internet Critical Resources
- Internet Governance for Development
- Access and Diversity
- Security, Openness and Privacy
- Taking Stock and the Way Forward

- Over 1,600 in person participants
- from 128 countries
- More than 3,500 remote participants
- Over 100 workshops organized
Knowledge Sharing & Training

Pre-event before the 7th Internet Governance Forum

✓ High Level Ministerial Round Table: Addressing the Challenges of a Hyper-connected World

✓ 12 guest ministers

✓ 42 ministerial level representatives from 19 different countries
Knowledge Sharing & Training (cont’d)

7th Internet Governance Forum (IGF) - Internet Governance for Sustainable, Human, Economic and Social Development - 6 - 9 November 2012 - Baku, Azerbaijan

Participants breakdown by region

Participants breakdown by stakeholder group

Participants breakdown by gender
Governance and Institution Building (GIB) Cluster

One of the 10 thematic clusters of the ECESA

Composed of the UN entities

Explore synergies and cooperation possibilities to better serve the Member States and development stakeholders within a more integrated framework on governance and institution building
Advocacy & Normative Support
Advocacy & Normative Support (cont’d)

Recent Discussions

- Should ICTs be a part of Sustainable Development Goals?
- Internet Governance and the Post 2015 Development Agenda?
- We need to be the change we want to see in governance & institution building!
Cluster 3 - Institutional Framework

**Structure:**

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<tr>
<td>2012 EGM &amp; CDW with ESCWA on Citizen Engagement and the Post 2015 Development Agenda</td>
<td>- EGM report with ESCWA; - CESAQ</td>
</tr>
<tr>
<td>2013 UNPSA CDW with ESCWA on Fostering Participation</td>
<td>Validated CESAQ (including guidelines of CE)</td>
</tr>
<tr>
<td>Divisional Focal Points (Gender &amp; Indigenous)</td>
<td>Briefs on any significant developments as needed</td>
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<tr>
<td>Other Major Tasks:</td>
<td></td>
</tr>
<tr>
<td>- 2014 E-Gov Survey Data team and Chapter &amp; cases</td>
<td></td>
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<tr>
<td>- 9th DA Project Proposal</td>
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</tbody>
</table>

Valentina, Arpine Elvira, Elie, Michelle
CEPA Conclusions in 2012 (E/2012/44-E/C.16/2012/6)

¶58 - Evidence base needed for effective public governance & dynamic, tailored approach to enable the achievement of development goals

¶66 (i) - Follow up and support the initiatives that are established in response to the evolving situation in the Middle East and North Africa region.

CEPA’s endorsement of DPADM’s mapping exercise of the public administrations of UN Member States (ECOSOC resolution E/RES/2012/28 of September 2012)
Advocacy & Normative Support

Expert Group Meeting & Capacity Development Workshop with ESCWA – “Citizen Engagement & the Post-2015 Development Agenda”
Beirut, Lebanon, December 2012

Key Messages
Need for:
• Comparative information on norms & methods to overcome challenges/obstacles to CE
• Improving policy tools for countries to self-assess their readiness to engage citizens

CEPA Member Resource Person: Ms. Hyam Nashash
Advocacy & Normative Support (cont’d)

Expert Group Meeting & Capacity Development Workshop with ESCWA
“Citizen Engagement & the Post-2015 Development Agenda” - Beirut, Lebanon, December 2012

Expert Group Meeting

Participants breakdown by gender

- Male: 74%
- Female: 26%

Capacity Development Workshop

Participants breakdown by gender

- Male: 77%
- Female: 23%

Participants breakdown by region

- Asia & Pacific: 55%
- Africa: 26%
- Europe: 10%
- Latin America & the Caribbean: 6%
- North America: 3%
Advocacy & Normative Support (cont’d)

Upcoming Capacity Development Workshop with ESCWA
“Fostering Participation in The Context of the Post-2015 Development Agenda”
Manama, Kingdom of Bahrain - 24-27 June 2013

2013 United Nations Public Service Forum
Day and Awards Ceremony

Objectives:
- Forum to exchange on fostering participation for the achievement of the MDGs and beyond
- Guidance on the implementation of CE assessment tools

CEPA Member resource person: TBC
UNPACS Research & Analysis

Organizational Framework

Constitution
Freedom of Information Act
Date Protection Act

Information Commissioner
Privacy Commissioner

Economic and Social Council - Institution

Legislation on CE in D-M process

INFORM
CONSULT
DECISION-MAKING

193
99
76
66

ongoing

193 99 76 66

ongoing
forthcoming

193 99 76 66

ongoing
forthcoming
Policy & Reg. Framework on D-M

- Open Government Data Initiatives
- Financial Law/General Law Guiding Budget Process
- Decentralization Laws/Legislation on Separation of Power
Would be good to replace with the original ppt file for better readability.

United Nations, 08/04/2013
Knowledge Sharing & Training

CESAQ
Self-assess those measures to engage citizens more directly in the national planning, programme management & their functioning.

World Public Sector Brief – UNPACS Publication
Inform Member States’ positions with analysis of the best available evidence & practice in the field.
Advisory Services
Update on 2012 - 2013 Activities

Advisory services of DMB specifically aim at developing capacities for:

- Participative governance (including e-participation)
- Engaging citizens in public administration to strengthen accountability
- Strengthen the institutional framework for citizen engagement
Update on 2012 - 2013 Activities (cont’d)

Capacity development projects as comprehensive service packages: The Case of Chad

Subject of the new project: Strengthening of Democratic Governance in Chad

- Oversight role of the National Assembly
- Overseas aid monitoring by the government

Funding: UNDP
Update on 2012 - 2013 Activities (cont’d)

Potential advisory services based on The Citizen Engagement Self-Assessment Questionnaire (CESAQ)

- Developed in 2012 by DMB
- First consultation in December 2012 at the CDW in Beirut, Lebanon; finalizing is ongoing until UNPSA Forum in June 2013 in Bahrain

- Possible advisory services include:
  - Supporting the use of the questionnaire
  - Using the questionnaire as one element in capacity development projects
Advisory Services in the Field
LEVELS OF CITIZEN ENGAGEMENT

Decision-Making
Consultation
Information
Knowledge Base

SUB-THEMES

Open Govt. Data (Joint DMB/UMU)
E-Participation Web page
Public Accountability Web page
Institutional Framework Web page

Post 2015 Dev. Agenda EGM Report
Assessment Guidelines

METEP eParticipation
WSIS, IGF, MAG Proceedings
Joint CDW & online training on CE in preventing corruption
INTOSAI Compendium

Open Govt. Data Toolkit
Open Govt. Data Toolkit
Open Govt. Data Toolkit
Open Govt. Data Toolkit

UNPACS
UNPACS
UNPACS
UNPACS
III. Discussion of Priorities
2014-2015 Biennium

Activities planned based on their greatest impact on citizen engagement for development management in light of challenges facing the 1,000 days of MDG implementation left, Rio+20 follow-up and post-2015 development agenda:

- UNPACS update and analysis of MDG successes/failures
- Continued support for citizen engagement in the Arab and other regions for setting the post-2015 development agenda
- Completion of citizen engagement assessment toolkit and measuring and evaluation for e-participation readiness
- Supporting public accountability measures in safeguarding finances for sustainable development initiatives
- Building capacity for using the internet and multi-stakeholder platforms for consultation on integrating the pillars of sustainable development
- Capacity building project in Chad
- Potential advisory services to strengthen the engagement of citizens in public administration and independent institutions
- Cooperation with INTOSAI, including citizen engagement in the work of SAI’s and support to oversight work of independent institutions
Thank You!

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armstronge@un.org
917-367-2931